



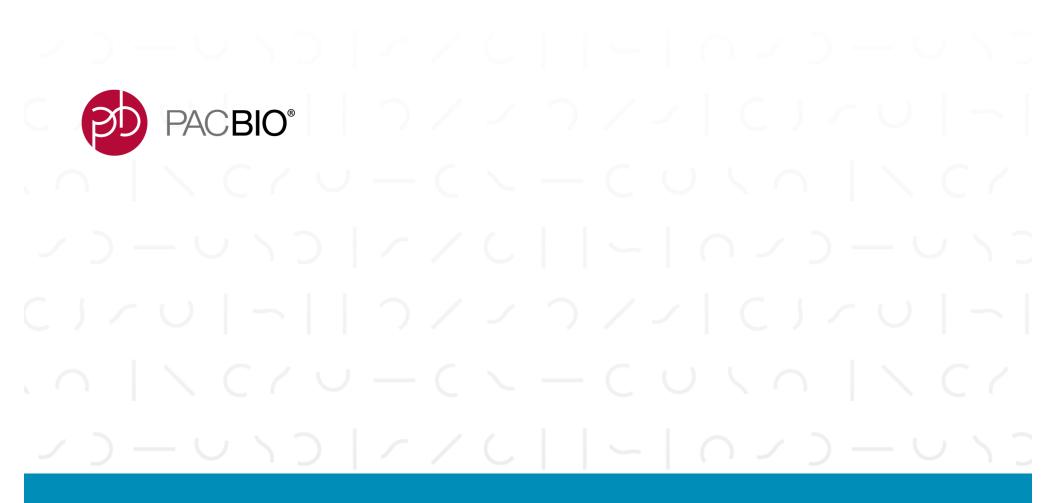
# Understanding, Curating, and Analyzing your Diploid Genome Assembly

Sarah B. Kingan, Ph.D. Senior Scientist, Bioinformatics, PacBio Applications East Coast UGM, Baltimore, MD Tuesday June 27<sup>th</sup> 2017

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# AGENDA

-Understanding Your Diploid Assembly -Assembly Workflow -Heterozygosity and Coverage -Curating your Assembly -Filtering Contigs -Deduplicating Haplotypes -Submitting Your Assembly to NCBI

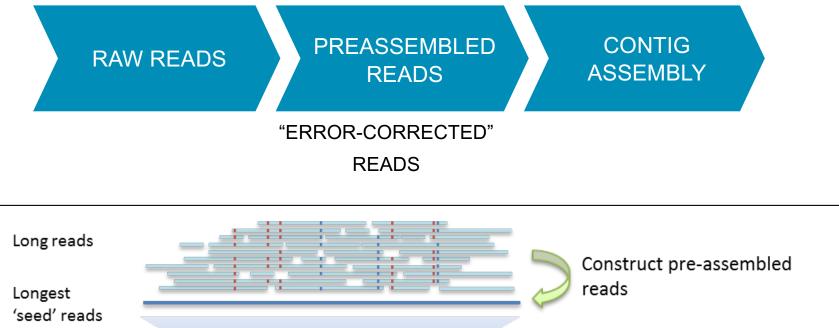


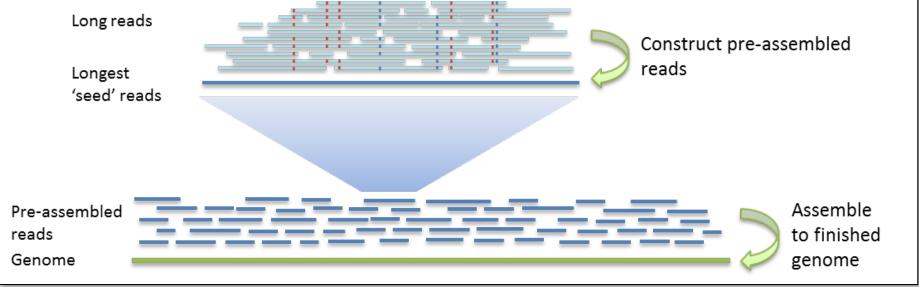
# Understanding Your Diploid Assembly

Assembly Workflow: From Raw Reads to High Quality Reference

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# FALCON / HIERARCHICAL GENOME ASSEMBLY PROCESS (HGAP)





Chin, et al. (2013). Nonhybrid, finished microbial genome assemblies from long-read SMRT sequencing data. Nature Methods. 10(6), 563.

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## FALCON AND FALCON-UNZIP



# Phased diploid genome assembly with single-molecule real-time sequencing.

Chin CS, Peluso P, Sedlazeck FJ, Nattestad M, Concepcion GT, Clum A, Dunn C, O'Malley R, Figueroa-Balderas R, Morales-Cruz A, Cramer GR, Delledonne M, Luo C, Ecker JR, Cantu D, Rank DR, Schatz MC

#### ABSTRACT

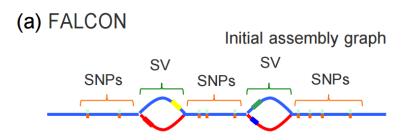
While genome assembly projects have been successful in many haploid and inbred species, the assembly of noninbred or rearranged heterozygous genomes remains a major challenge. To address this challenge, we introduce the open-source FALCON and FALCON-Unzip algorithms (https://github.com/PacificBiosciences/FALCON/) to assemble long-read sequencing data into highly accurate, contiguous, and correctly phased diploid genomes. We generate new reference sequences for heterozygous samples including an F1 hybrid of Arabidopsis thaliana, the widely cultivated Vitis vinifera cv. Cabernet Sauvignon, and the coral fungus Clavicorona pyxidata, samples that have challenged short-read assembly approaches. The FALCON-based assemblies are substantially more contiguous and complete than alternate short- or long-read approaches. The phased diploid assembly enabled the study of haplotyp structure and heterozygosities between homologous chromosomes, including the identification of widespread heterozygous structural variation within coding sequences.

# -FALCON is a diploid-aware assembler.

 FALCON-Unzip module performs true phased assembly for diploid samples.

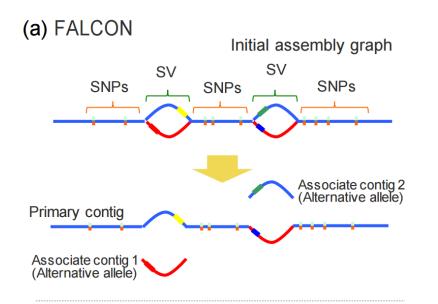


## **DIPLOID ASSEMBLY WITH FALCON-UNZIP**



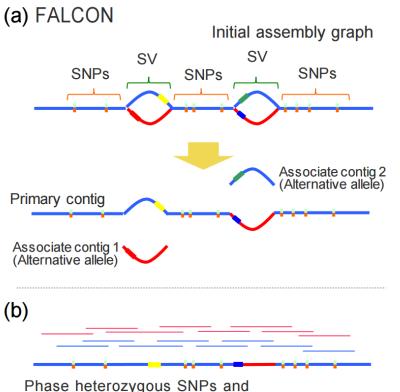


## **DIPLOID ASSEMBLY WITH FALCON-UNZIP**



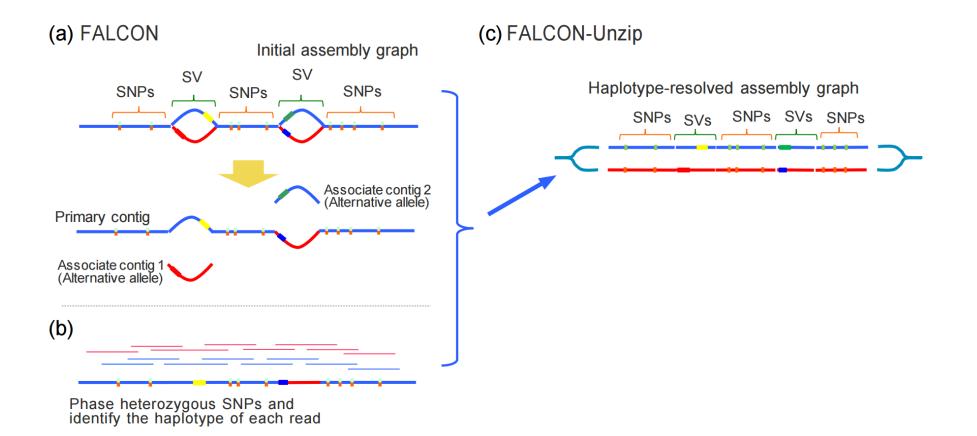


## **DIPLOID ASSEMBLY WITH FALCON-UNZIP**

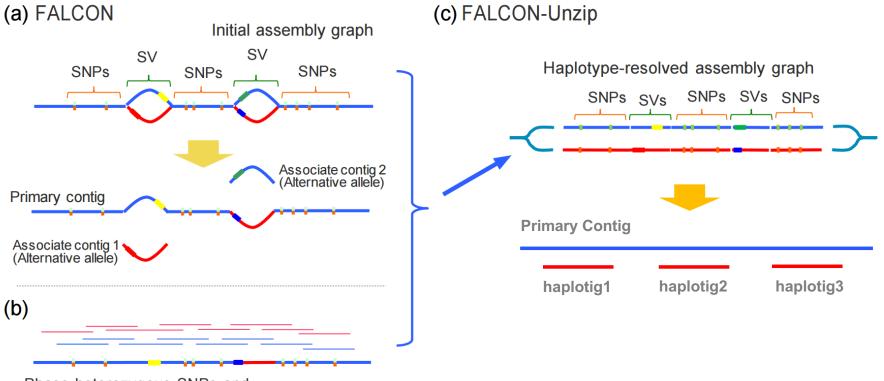


Phase heterozygous SNPs and identify the haplotype of each read

## **DIPLOID ASSEMBLY WITH FALCON-UNZIP**



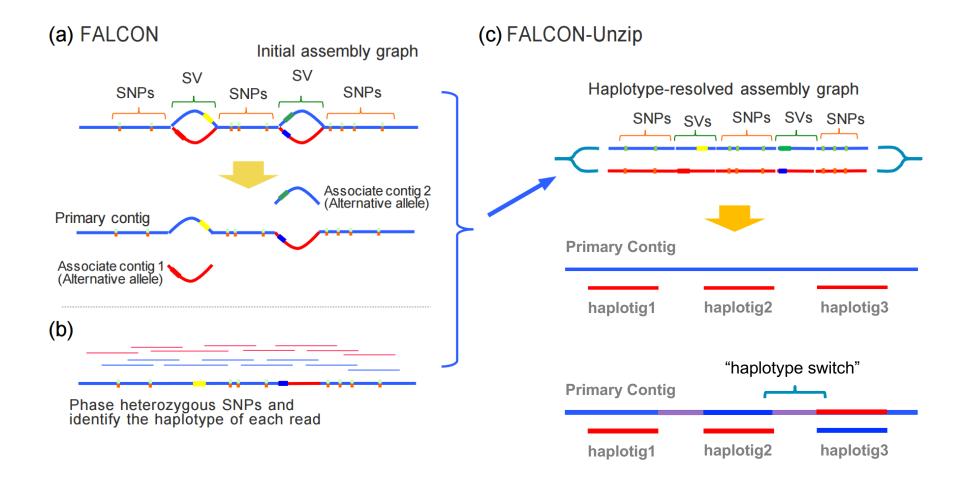
## **DIPLOID ASSEMBLY WITH FALCON-UNZIP**



Phase heterozygous SNPs and identify the haplotype of each read

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## **DIPLOID ASSEMBLY WITH FALCON-UNZIP**



Chin et al. (2016). Phased diploid genome assembly with single-molecule real-time sequencing. Nature Methods. 13(12), 1050.

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## **EXAMPLE ASSEMBLY OF WATER BUFFALO**

	FALCON	FALCON-Unzip		SECONDARY CONTI	GS
Primary Length	2.66 Gb	2.65 Gb			
Primary N50	18.7 Mb	18.8 Mb			
Secondary Length	0.218 Gb	1.53 Gb	7-fold	l increase in	
<b>Proportion Phased</b>	8.2 %	58 %		type phasing	
			with U	Inzip module	



Olimpia

Phot Credit: Caterina Cambuli

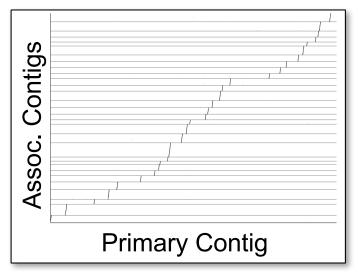
Acknowledgements: Tim Smith, USDA-ARS John Williams, Lloyd Low, University of Adelaide Paolo Ajmone-Marsan, Università Cattolica del S. Cuore David Hume, Mick Watson, Roslin Institute

**PRIMARY CONTIG** 

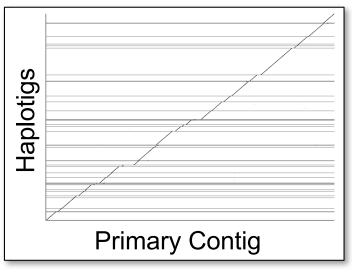
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## **INCREASED HAPLOTIG CONTIGUITY WITH FALCON-UNZIP**

#### FALCON



#### **FALCON-UNZIP**

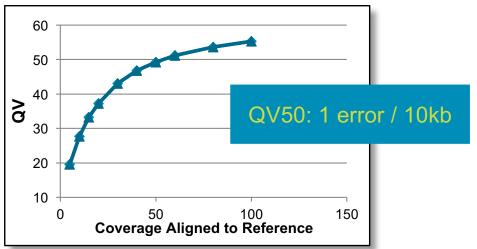


CONTIG: 000078F	FALCON	FALCON-Unzip
Primary Contig Length	12.9 Mb	12.9 Mb
Number Secondary Contigs	30	34
Total Secondary Length	1.21 Mb	10.6 Mb
Secondary Contig N50	42.5 kb	470 kb
Proportion Phased	9.3 %	82%

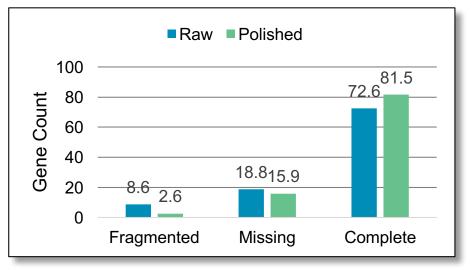
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# POLISHING WITH ARROW: INCREASED REFERENCE QUALITY

#### **CONSENSUS BASE ACCURACY**



#### **GENOME COMPLETENESS WITH BUSCO**



#### **Consensus Base Accuracy**

- -Sequel 2.0 Chemistry
- Bacterial Genomes

#### **Genome Completeness**

- -Avian Genome
- -50-fold Raw Coverage
- -BUSCO2 analysis with eukaryota geneset

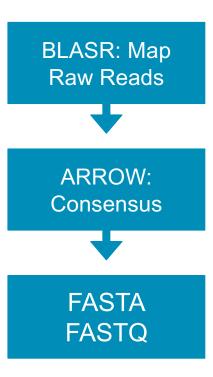
70% reduction in Fragmented Genes15% reduction in Missing Genes12% increase in Complete Genes

Acknowledgement: Erich Jarvis, Rockefeller University

#### 

## **POLISHING WITH ARROW: WORKFLOW**

METHOD	ASSEMBLY	POLISHING
HGAP4 - SMRT Link	✓	✓
FALCON	√	resequencing pipeline from pbsmrtpipe/SMRT Link
FALCON-Unzip	√	✓ (phased) plus optional resequencing



#### **Random Best Mapping**

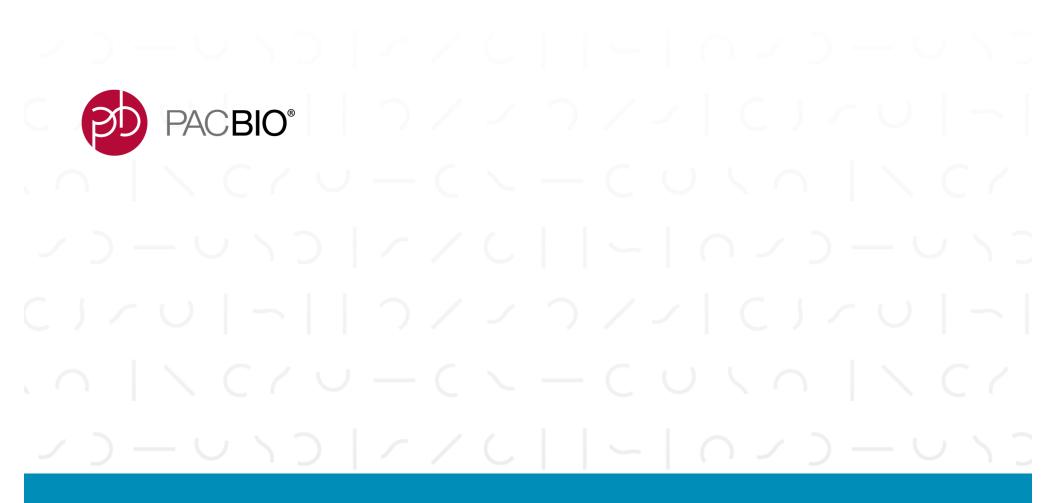
-Random choice of locus with equal BLASR score

### Minimum Coverage <5

- -<5 reads span 500 bp window
- -No consensus call
- Reference base returned as lowercase

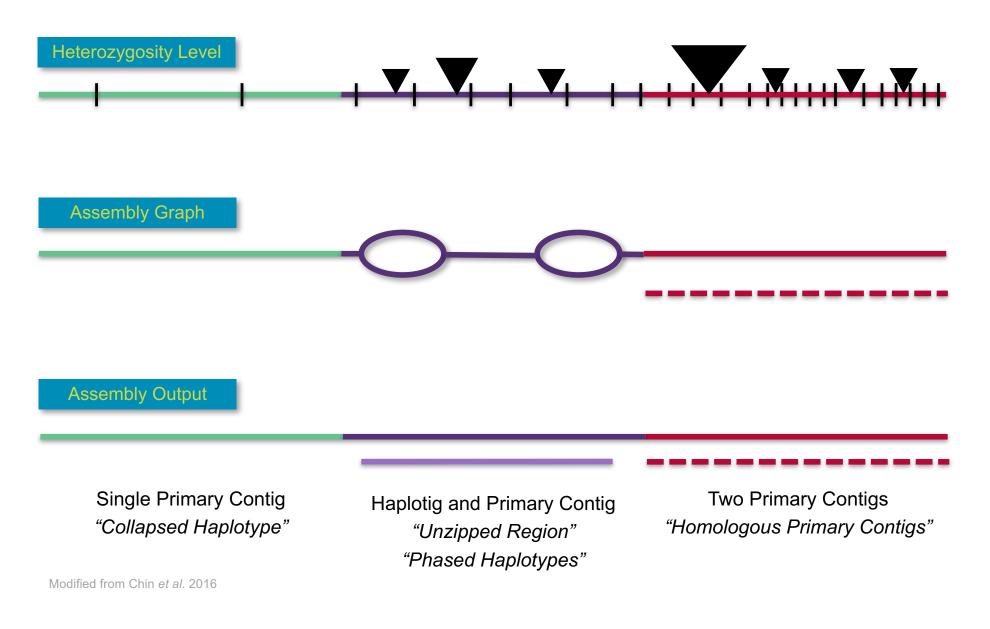
**Consensus Sequence** 

atgcgccgttatatgg aagctagcTAGCTCTA GTAGCTAGAGCTAGCT GCGCGCTAGAATAGGG CGCCATAGAGCCTTTT



# **Understanding Your Diploid** Assembly

Heterozygosity, Assembly Structure, and Coverage

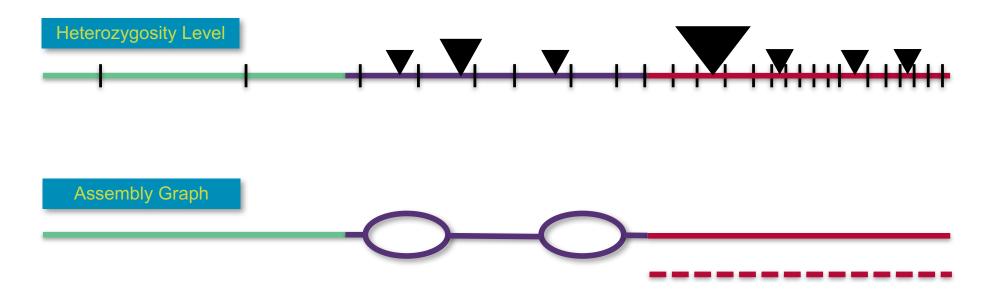




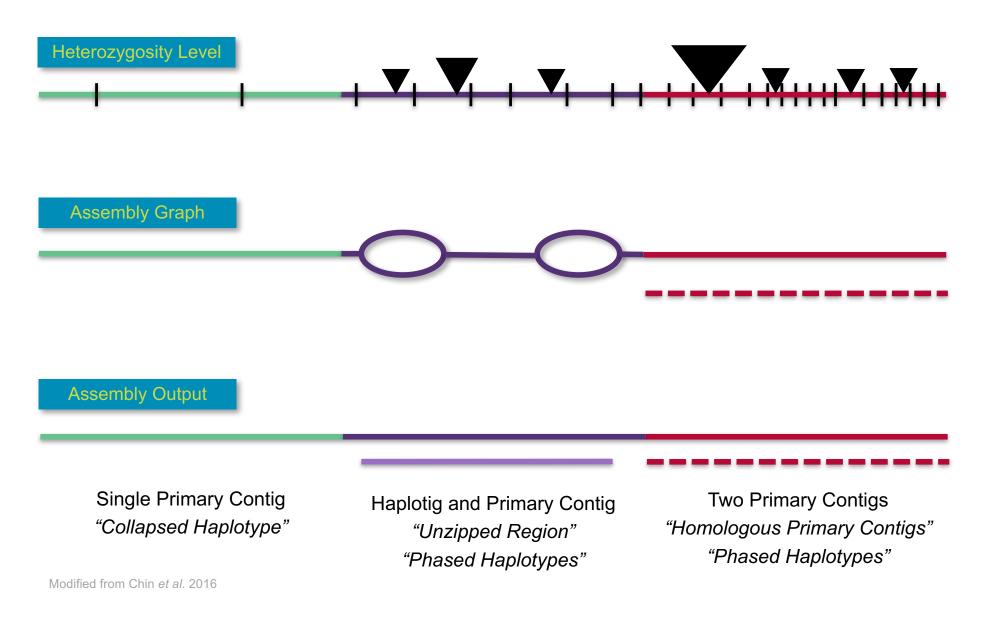


Modified from Chin et al. 2016



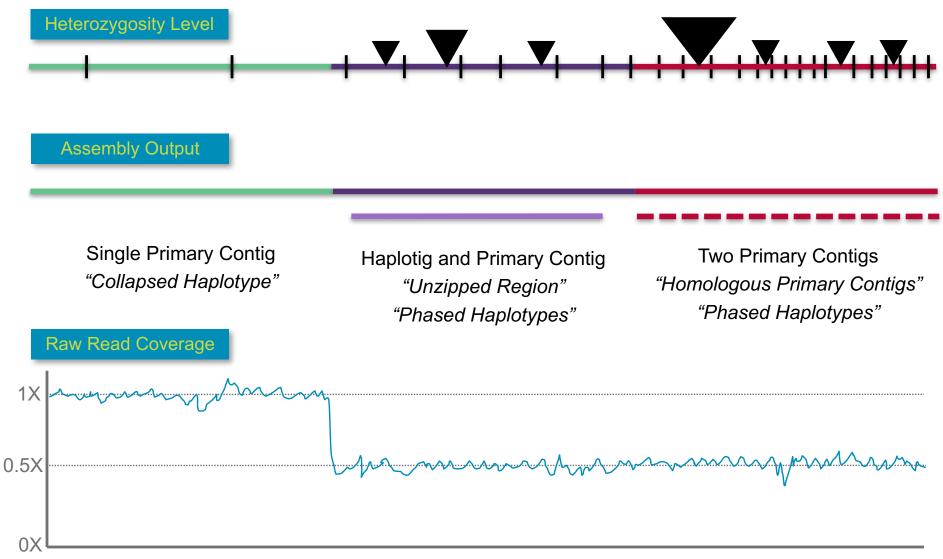


Modified from Chin et al. 2016



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## **RAW READ COVERAGE AND ASSEMBLY STRUCTURE**

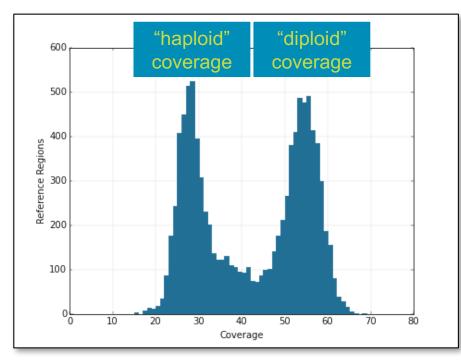


**Position Along Chromosome** 



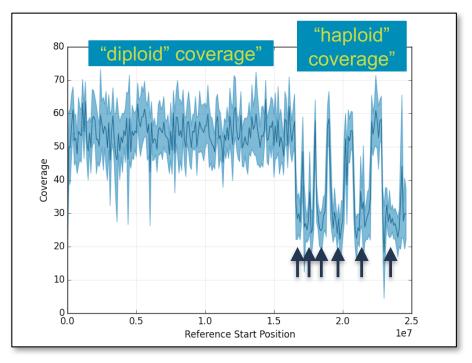
## **SMRT LINK COVERAGE REPORTS**

Graphical Outputs from Resequencing Pipeline / HGAP4



#### **COVERAGE HISTOGRAM: GENOME**

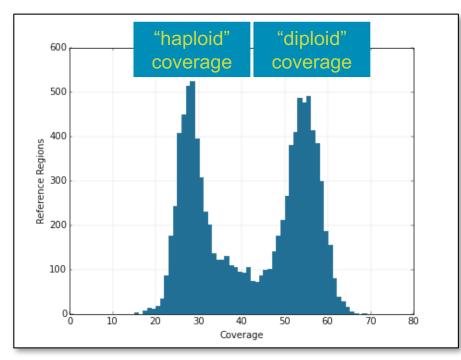
#### **COVERAGE PLOT: CONTIG**





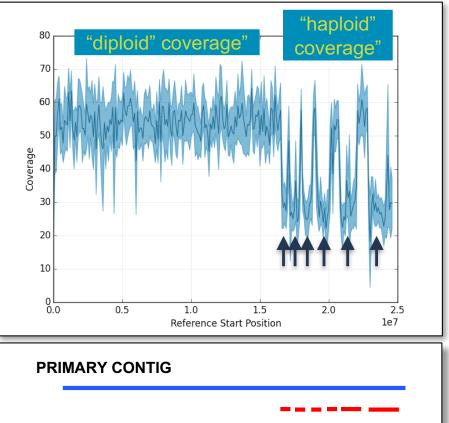
## **SMRT LINK COVERAGE REPORTS**

Graphical Outputs from Resequencing Pipeline / HGAP4



#### COVERAGE HISTOGRAM: GENOME

#### **COVERAGE PLOT: CONTIG**



SECONDARY CONTIGS

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## SMRT LINK COVERAGE SUMMARY FILES

#### alignment\_summary.gff: coarse coverage across all contigs

#### -SMRT Link job directory

-myJob/tasks/pbreports.tasks.summarize\_coverage-0/alignment\_summary.gff

- File Format Specs: https://github.com/ben-lerch/SAT

• •	1	skingan — sł	kingan@lo	ogin14-fas	01: /hc	me/skin	ngan — ssh	n -Y skingan@login14-fas01 — 133×13	
000123F arrow arrow		region	1	100000	0.00	+		cov=2,52,64;cov2=48.102,10.613;gaps=0,0	
000123F arrow arrow		region	100001	200000	0.00	+		cov=39,57,76;cov2=56.756,7.613;gaps=0,0	
000123F arrow arrow		region	200001	300000	0.00	+		cov=35,50,68;cov2=51.148,8.026;gaps=0,0	
000123F arrow arrow		region	300001	400000	0.00	+		cov=39,55,74;cov2=55.743,7.334;gaps=0,0	
000123F arrow arrow		region	400001	500000	0.00	+		cov=40,56,76;cov2=56.210,7.317;gaps=0,0	
000123F arrow arrow		region	500001	600000	0.00	+		cov=37,54,71;cov2=54.493,7.591;gaps=0,0	
000123F arrow arrow		region	600001	700000	0.00	+		cov=41,59,84;cov2=60.365,8.079;gaps=0,0	
000123F arrow arrow		region	700001	800000	0.00	+		cov=39,59,84;cov2=59.854,11.002;gaps=0,0	
000123F arrow arrow		region	800001	900000	0.00	+		cov=36,53,68;cov2=52.339,6.035;gaps=0,0	
000123F arrow arrow		region	900001	1000000	0.00	+		cov=39,54,73;cov2=53.778,6.807;gaps=0,0	
000123F arrow arrow		region	1000001	1100000	0.00	+		cov=35,52,73;cov2=52.280,7.533;gaps=0,0	
000123F arrow arrow	•	region	1100001	1200000	0.00	+	•	cov=39,57,71;cov2=56.819,6.163;gaps=0,0	

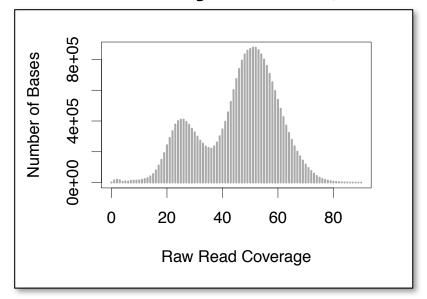
cov	cov2	gaps
min	mean	number continuous gaps
median	s.d.	number gap bases
max		

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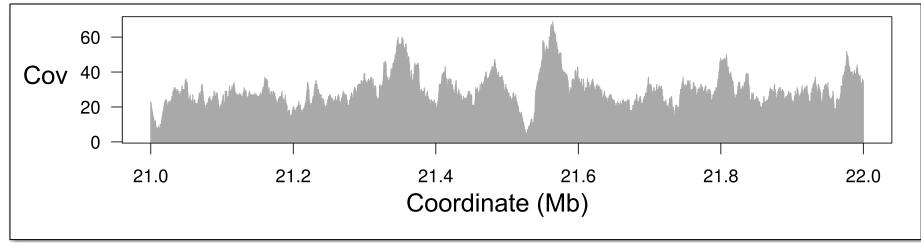
## **TOOLS FOR CUSTOM COVERAGE ANALYSIS**

- -Merge BAM files (N=24)
  - -pbmerge
  - -samtools merge
- Coverage calculation
  - -samtools depth
  - -bedtools genomecov
- -Visualization
  - -R text file/dataframe

**CONTIG COVERAGE HISTOGRAM** bedtools genomecov, R



**CONTIG COVERAGE WINDOW** bedtools genomecov/samtools depth, R



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# **ALIGNMENTS AND VISUALIZATION**

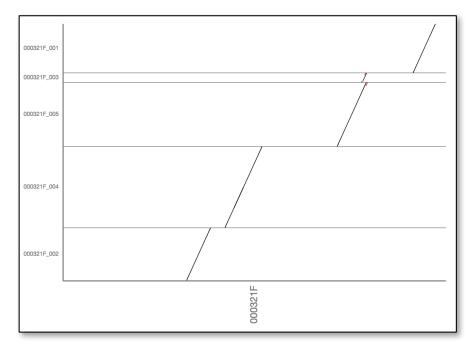
Recommended Tools for Haplotype Alignment and Analysis

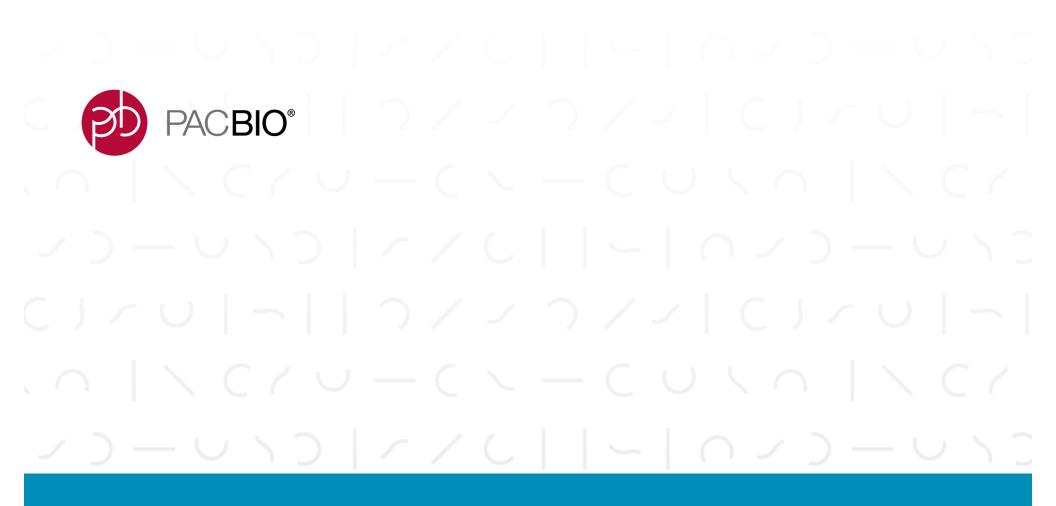
- -Subset Reference Sequence
  - -samtools faidx
- -Alignment
  - -MUMmer (v4, multi-threaded support)
  - -NUCmer, delta-filter, showcoords, show-snps, etc
- -Visualization
  - -mummerplot
  - -assemblytics
  - -gepard (alignment + vis)
- -FALCON Assembly Tools
  - <u>https://github.com/PacificBiosciences</u> /apps-scripts/
  - -FALCONAssemblyTools repo

#### HAPLOTIGS TO PRIMARY CONTIG DOTPLOT

alignHaplotigs2Primary.sh

#### Assemblytics





# **Assembly Finishing**

Filtering, Circularizing, Haplotype Deduplication

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# **GUIDELINE FOR CONTIG FILTERING**

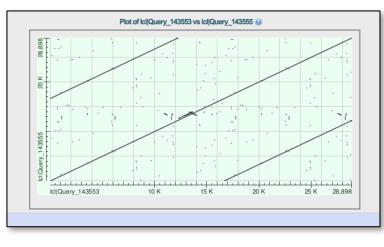
https://github.com/PacificBiosciences/apps-scripts/tree/master/FALCONAssemblyTools

### **Circularize organelle**

- -Identify
  - -high coverage
  - "circular ctg" FALCON annotation
  - -blast hit to organelle
- -Circularize and polish
  - -minimus2, circulator

#### SELF-ALIGNMENT OF MITO CONTIG

BLAST



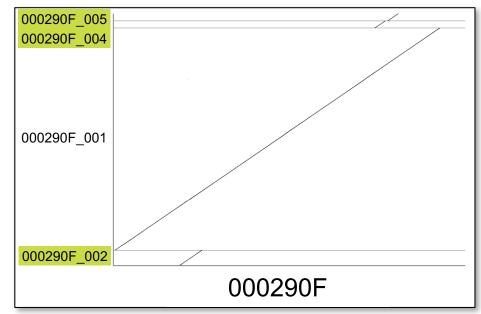
## **Remove low quality contigs**

 Filter out contigs with >50% unpolished bases (lowercase)

#### **Remove nested haplotigs**

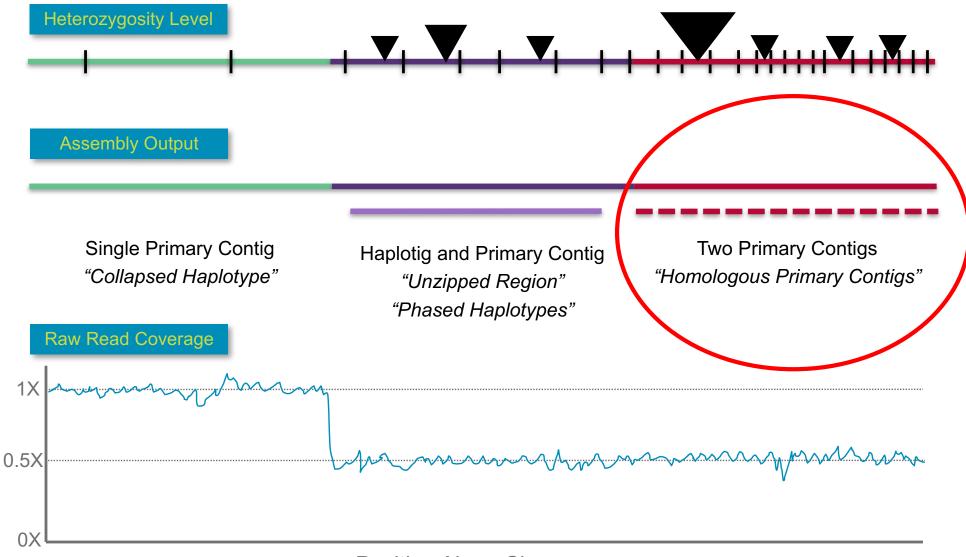
-Short haplotigs that align within longer haplotigs

#### HAPLOTIGS ALIGNED TO PRIMARY



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## **DEDUPLICATING PRIMARY CONTIGS**



Position Along Chromosome

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## DOES MY ASSEMBLY HAVE HOMOLOGOUS PRIMARY CONTIGS?

# <u>Primary</u> assembly length is longer than haploid genome size

- Inbred individual: diploid assembly: assembly length = 1N
- -F1 hybrid: haploid assembly: assembly length = 2N

# Haploid coverage on primary contigs in regions without haplotigs

BUSCO analysis on <u>primary</u> contigs indicates widespread duplicated genes

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# METHODS TO IDENTIFY HOMOLOGOUS PRIMARY CONTIGS

#### **BUSCO/Gene Annotation**

- -Pros: simple, works for highly divergent haplotypes
- -Cons: unannotated contigs excluded
- -Usage Case: high contiguity assembly, highly divergent haplotypes

#### **All-By-All Alignments**

- -Pros: simple
- -Cons: high compute time/manual curation
- -Usage Case: small genome (<1 Gb)

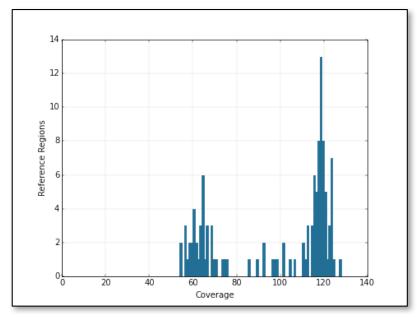
### **Purge Haplotypes Pipeline (Mike Roach)**

- -Pros: uses coverage and pairwise identity
- -Cons: some manual curation
- -Usage Case: many

# ארק כל ארכן כל

## **EXAMPLE: AEDES MOSQUITO FALCON-UNZIP ASSEMBLY**

- -Expected Genome Size: ~1.3 Gb
- -Primary Contig Length: 1.69 Gb



#### **BIMODAL COVERAGE HISTOGRAM**

#### **BUSCO ANALYSIS:**

#### **ARTHROPOD GENESET (N = 2675)**

ASSEMBLY	Aedes PACBIO
COMPLETE	98%
MISSING	2%
FRAGMENTED	10%
DUPLICATED	32%

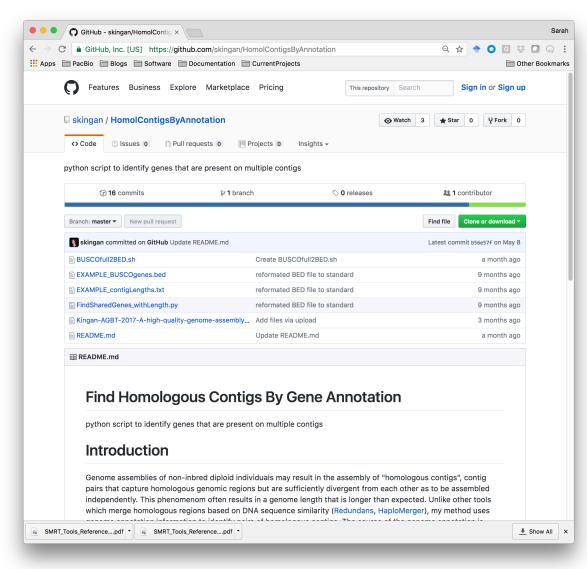
Acknowledgement:

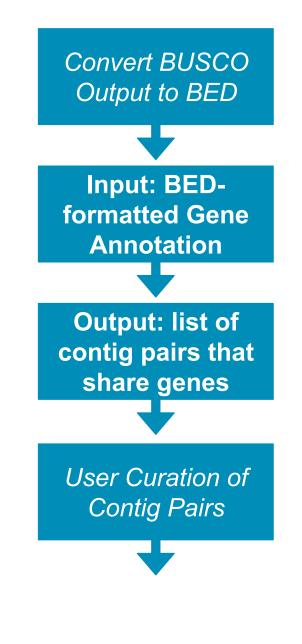
Aedes Genome Working Group Leslie Vosshall, Ben Matthews, Rockefeller University

# ארק כל ארכן כל

# **BUSCO METHOD**

#### github.com/skingan/HomolContigsByAnnotation





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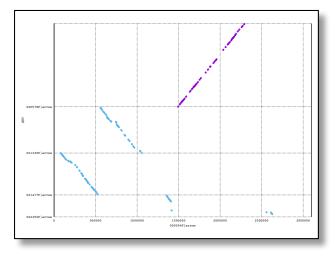
## **ALL-BY-ALL ALIGNMENTS**

https://github.com/PacificBiosciences/appsscripts/blob/master/FALCONAssemblyTools/get\_homologs.py

#### Identify homologous relationships by alignments

- Each contig aligned to all shorter contigs using NUCmer
  - –uses multi-threaded version of MUMmer4
- -High quality alignments filtered
- Multi-sequence alignment visualized in mummerplot
- -Manual curation of plots

#### **OUTPUT EXAMPLE**



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# PURGE HAPLOTIGS MIKE ROACH, AUSTRALIAN WINE RESEARCH INSTITUTE

https://bitbucket.org/mroachawri/purge\_haplotigs/

#### Semi-automated pipeline to remove haplotigs from primary contigs

- -Input: BAM of mapped PacBio reads to primary contigs
- -Output: curated haploid representation of assembly
  - -Record of association between excluded and retained primary contigs

## **Pipeline**

- 1. coverage histogram and user-defined coverage cut offs
- 2. contig-specific coverage analysis to identify candidate haplotigs
- **3.** alignments and iterative purging of candidates

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### PURGE HAPLOTIGS: EXAMPLE FROM BARBERRY BUSH

#### Haploid Diploid High Low 6e+07 4e+07 count 2e+07 0e+00 0 20 40 60 80 100 read depth

#### **1. PRIMARY CONTIG COVERAGE HISTOGRAM**

#### **OUTPUT: CURATED ASSEMBLY**

- Revised haploid genome
- Log file of reassignment

000000F,PRIMARY <- 001282F,REPEAT <- 003081F,HAPLOTIG Acknowledgement: lago Hale, UNH

# 2. INDIVIDUAL CONTIG **COVERAGE ASSESSMENT** -<80% contig length has diploid coverage -4470 / 4672 contigs flagged as "suspect" 3. ITERATIVE REASSIGNMENT -All-by-all BLAST to find two best hits of "suspect" contigs -NUCmer alignment and summary stats -Categorization as "repeat" or "haplotig"

# ס-רבינו אר כין כל אר

# METHODS TO IDENTIFY HOMOLOGOUS PRIMARY CONTIGS

### **BUSCO/Gene Annotation**

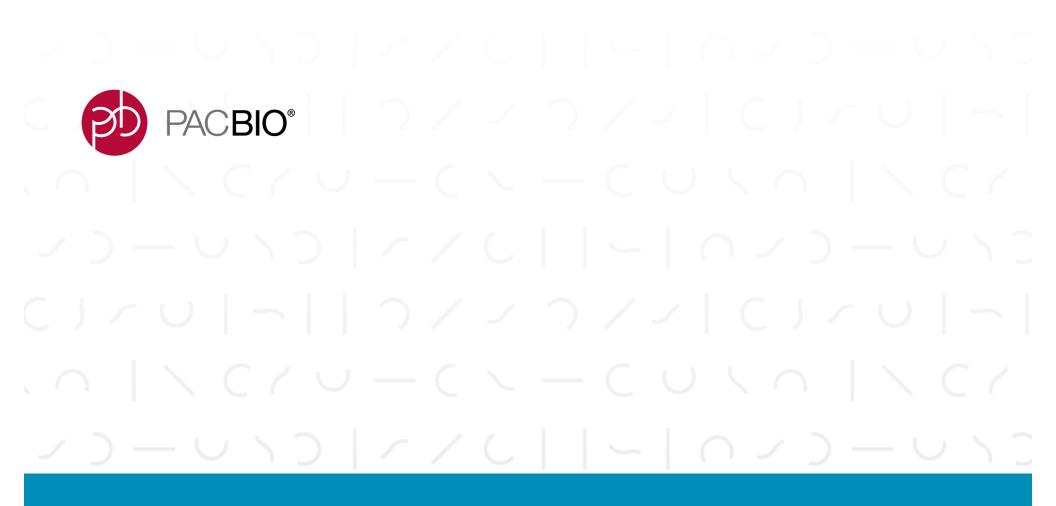
-github.com/skingan/HomolContigsByAnnotation

### **All-By-All Alignments**

https://github.com/PacificBiosciences/appsscripts/blob/master/FALCONAssemblyTools/get\_homologs.py

### **Purge Haplotypes Pipeline (Mike Roach)**

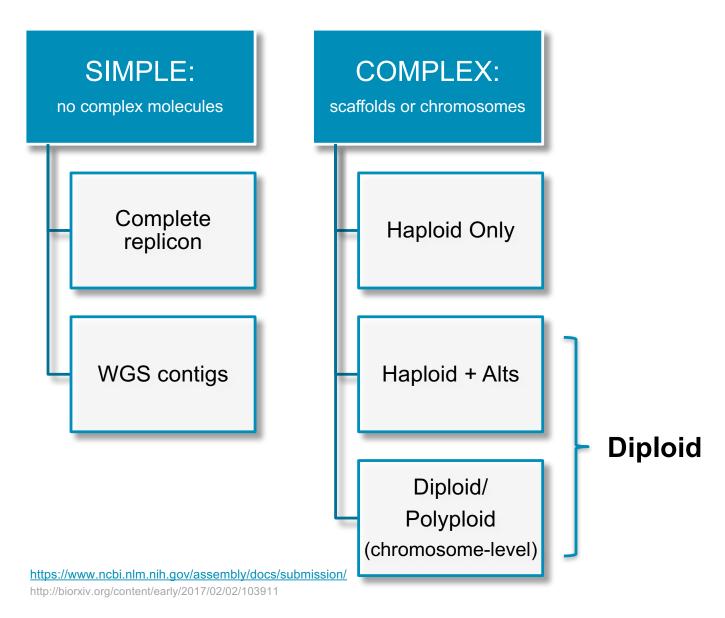
-https://bitbucket.org/mroachawri/purge\_haplotigs/



# Sharing Your Assembly Diploid Assembly Submission to NCBI

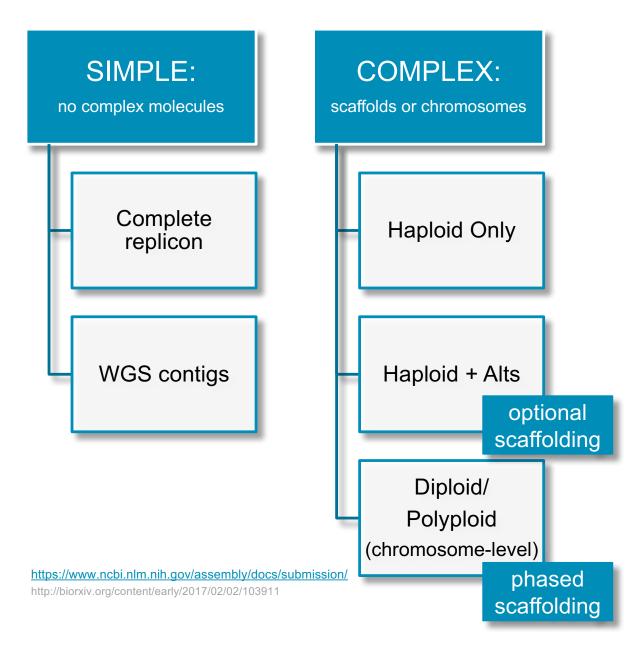


#### **ASSEMBLY SUBMISSION TYPES**



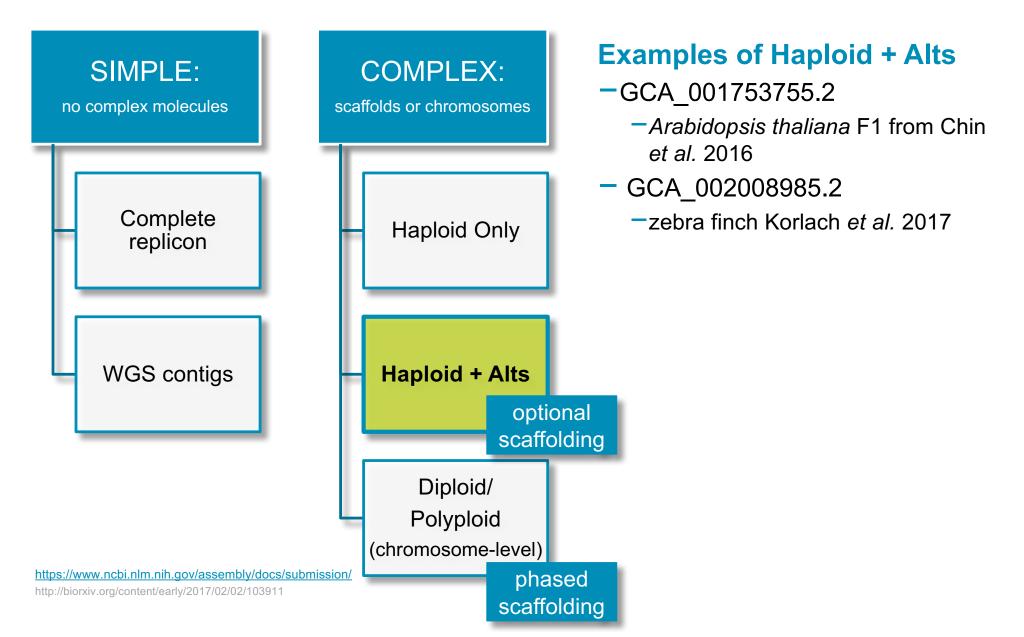


#### **ASSEMBLY SUBMISSION TYPES**





#### **ASSEMBLY SUBMISSION TYPES**



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#### **ASSEMBLY UNITS: PRIMARY AND ALT**

#### Zebra finch: GCA\_002008985.2

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→ C Secure https://www.ncbi.nlm.nih.g	ov/assembly/GCA_00200	8985.2#/def_asm_haplot	tigs	*	🕈 🖸 🕼 🐺 🚺 🗄
Apps 📄 PacBio 📄 Blogs 📄 Software 📄 Docum	mentation  🗎 CurrentProje	cts			Cher Bookmarks
Assembly Definition Assembly Statistics					-FALCON-Unzip:
Global assembly definition				Download the fu	Primary Contigs and
Click on the table row to see sequence details in the table to the right	Assembly Unit: haplotig	gs (GCA_002009005.1)			Haplotigs
	Showing 2000 out of 218	8 records			
Assembly Unit Name	Sequence name	Chromosome	GenBank ID		-FALCON:
Primary Assembly	Dequence name	assignment	Genbank ib		- Drimory Conting and
haplotigs	000002F_097	na	MUGN01001535.1	n/a	<ul> <li>Primary Contigs and</li> </ul>
	000003F_001	na	MUGN01002051.1	n/a	Associated Contigs
	000035F_034	na	MUGN01002022.1	n/a	/ issociated contrigs
	000048F_017	na	MUGN01002877.1	n/a	
	000054F_006	na	MUGN01001978.1	n/a	
	000056F_015	na	MUGN01001387.1	n/a	
	000070F_006	na	MUGN01001544.1	n/a	
	000076F_003	na	MUGN01001493.1	n/a	
	000101F_020	na	MUGN01002686.1	n/a	n/a
	000116F_002	na	MUGN01001811.1	n/a	n/a
	000162E_004	na	MUGN01002109 1	n/a	n/a

# סארק כל ארכין כל ארכי

# REGIONS

region258

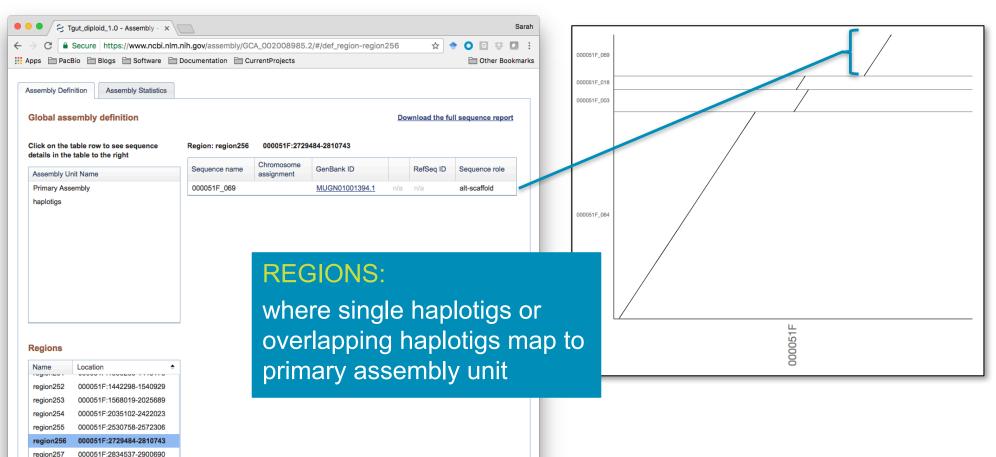
region259

region260 region261 000051F:3067152-3115221 000051F:3138398-3198125

000051F:3329914-3433901

000051F:3506509-3541465

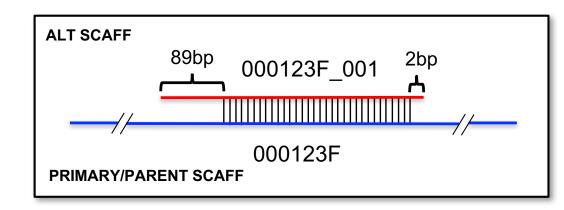
#### Zebra finch: GCA\_002008985.2



ארק כל ארכן כל

#### ALTERNATE LOCUS PLACEMENT FILE

- Required for haploid + alts submission
- Details placement of alt sequences relative to primary assembly



HEADER	EXAMPLE			
alt_asm_name	haplotigs			
prim_asm_name	Primary Assembly			
alt_scaf_name	000123F_001			
parent_type	SCAFFOLD			
parent_name	000123F			
ori	+			
alt_scaf_start	90			
alt_scaf_stop	41595			
parent_start	8663681			
parent_stop	8708427			
alt_start_tail	89			
alt_stop_tail	2			

# ס-רק כל ארכן כל

# PLACEMENT FILE TOOLS

https://github.com/skingan/NCBI\_DiploidAssembly

~

#### generate\_placement.py

- -Written by Jason Chin
- Runs NUCmer and generates placement file

#### nucmer2ncbiPlacement.py

- -Written by Sarah Kingan
- generates placement file from directory of filtered NUCmer alignments
- Contains suggested MUMmer commands

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PacBio 🗎 Blogs 🗎 Software	Documentation 📄 CurrentProjects		🛅 Other I	Bookmark
<b>Ç</b> Features Business Exp	olore Marketplace Pricing	This repository	Search Sign in or Sign up	
Skingan / NCBI_DiploidAss	embly	<b>⊙</b> Wa	atch 3 🛧 Star 2 😵 Fork 0	
scripts to generate files associate	Il requests 0 III Projects 0 Insights	me assembly		
			I contributor       Find file       Clone or download •	
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© 15 commits Branch: master • New pull request	ed with NCBI submission of diploid geno	me assembly	Find file Clone or download -	
Branch: master  New pull request skingan committed on GitHub Upo	ed with NCBI submission of diploid geno 2 1 branch	me assembly	Find file Clone or download - Latest commit f1dfbba on May 17	
Contract of the second	ad with NCBI submission of diploid geno 1 branch late README.md Create AthalPlaceme	me assembly	Find file Clone or download - Latest commit f1dfbba on May 17 5 months ago	

#### **Diploid Assembly Submission to NCBI**

NCBI now accepts diploid genome submissions! This repository contains a collection of scripts to aid you in generating the placement file required by NCBI. Details can be found here. Refer to the *Arabidopsis thaliana assembly* from Chin et al. 2016 as you prepare your FALCON-Unzip assembly for submission to NCBI.

#### **Placement File**

The placement file for an unscaffolded assembly has the following fields:

- 1. alt\_asm\_name: name of the assembly-unit that includes the alternate scaffold.
- 2. prim\_asm\_name: name of the assembly-unit on which the alternate scaffold is being placed. Expected to be 'Primary Assembly' in most cases.
- 3. alt\_scaf\_name: name of the alternate scaffold being placed
- 4. parent\_type: type of object on which the alternate scaffold is being placed, either CHROMOSOME or SCAFFOLD

# ארק כל ארכן כל

# RESOURCES

# FALCON

- -http://pb-falcon.readthedocs.io/
- -https://github.com/PacificBiosciences/FALCON-integrate
- <u>https://github.com/PacificBiosciences/apps-scripts/tree/master/FALCONAssemblyTools</u>
- Unzip binary to be released with SMRT Analysis 5.0 in Q3

# **SMRT Analysis**

- -http://www.pacb.com/support/software-downloads/
- http://programs.pacificbiosciences.com/l/1652/2017-02-01/3rzxn6/184345/SMRT\_Tools\_Reference\_Guide\_\_v4.0.0\_.pdf



#### www.pacb.com

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